

ORI DATE 07/06/93 APPLN. ID 29090/92  
AOJP DATE 05/08/93 PCT NUMBER PCT/US92/09087

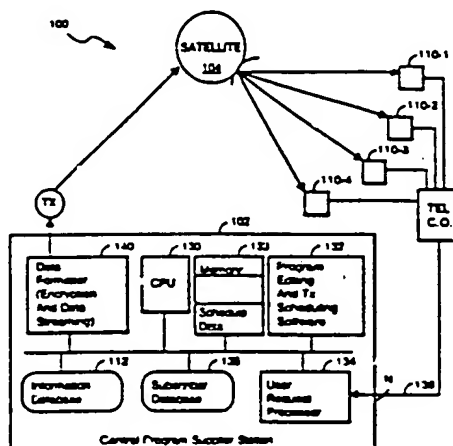


AU9229090

(PCT)

(51) International Patent Classification 5 : H04N 1/00, H04H 1/02, 1/00 H04B 7/183, G11C 7/00		A1	(11) International Publication Number: WO 93/09631 (43) International Publication Date: 13 May 1993 (13.05.93)
(21) International Application Number: PCT/US92/09087 (22) International Filing Date: 27 October 1992 (27.10.92) (30) Priority data: 07/786,453 1 November 1991 (01.11.91) US (71) Applicant: FINISAR CORPORATION [US/US]; 3515 Edison Way, Menlo Park, CA 94025 (US). (72) Inventor: LEVINSON, Frank, H. ; 3465 Cowper Street, Palo Alto, CA 94306 (US). (74) Agents: WILLIAMS, Gary, S. et al.; Flehr, Hohbach, Test, Albritton & Herbert, 4 Embarcadero Center, Suite 3400, San Francisco, CA 94111-4187 (US).		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE).  Published With international search report.	

(54) Title: INFORMATION BROADCASTING SYSTEM AND METHOD



BEST AVAILABLE COPY

(57) Abstract

An information broadcasting system (100) provides a large number of subscribers access to a large amount of information using one or more satellite transmission channels. The system (100) can also use cable television transmission channels. A program supplier station (102) stores an information database (112) and tags all the information in the database with indices so as to form a single hierarchical structure which encompasses the entire information database (112). Portions of the information database (112) are transmitted often, at least once per day, in order to provide the basic subscriber with information need to access the remainder of the database (112). The information provided by the basic subscriber service, which will typically include at least 50 gigabytes of data, is available to all subscribers without requiring two way communications between the subscribers and the program supplier station. Using a tiered system for scheduling transmission of the 50 gigabytes or so of information included in the basic subscriber service, as well as an intelligent subscriber request anticipation scheme for retrieving information before the subscriber asks for it, the present invention provides subscribers with reasonably quick access to all the contents of the large database while using only a modest amount of bandwidth.

## INFORMATION BROADCASTING SYSTEM AND METHOD

The present invention relates generally to the transmission or broadcasting of digital information to a wide base of subscribers, and particularly to methods and systems for providing access by many subscribers to an almost unlimited amount of information and/or programming material.

5

### BACKGROUND OF THE INVENTION

10

The goal of computerized information servers is to provide a system that encourages use by subscribers, provides access to a large range of information, and which is flexible and inexpensive for information publishers. Computerized information services which exist today include Prodigy, CompuServe, and Dialog. Typically, the user either pays a monthly fee or a connect time fee for the most basic service and additional or special services are provided for additional fees.

15

20

All of the above mentioned computer services require two way communications between the user and the information server in order to service each new request by the user. In other words, the user sends requests, and then selected data is transmitted individually to that user in response to his/her request. This two way "query based" communication scheme is also prevalent in other types of information servers. For instance, the "pay per view" services provided by cable television companies allow a subscriber to call the company and request a movie. The company then sends

- 2 -

5 a signal to the subscriber's television signal decoder to enable it to decode the program on a particular channel during the period of time associated with the requested movie. In this case, the "information" (a program or movie) is being transmitted in any case, but subscribers are only provided access to the program if they pay a special fee.

10 Two major distinctions between the present invention and the prior art query based information servers are (1) the present invention has a bandwidth that is thousands of times of greater than the prior art systems, thereby enabling high speed, low cost distribution of information, and (2) the present invention greatly reduces the amount of two-way communication required between subscribers and the information server by automatically transmitting information that responds to most anticipated "requests" by subscribers. Only those subscriber requests not satisfied by the automatically transmitted information need to be conveyed to the information server.

15 The goal of the present invention is to provide widespread, high speed access to a virtual omniscient database having typically well in excess of a terabyte ( $10^{12}$  bytes) of data. Using a data channel capable of transmitting, say, 1.5 megabytes of data per second, the present invention can provide virtually instantaneous access to about a 100 megabytes of information, high speed access (e.g., within two hours) to about a gigabyte of information, and can  
20 provide medium speed access, with perhaps 12 or 24 hour turn-around, to perhaps 100 terabytes of information. Furthermore, such access can be provided to a very large set of users without having to use a large number of data channels.

25 The large bandwidth of the present invention's information server enables the system to provide subscribers with multimedia programming, including video and audio programming. This is unlike current query based information servers, whose low bandwidth makes the distribution of video and audio programming impractical. The ability of the present invention to distribute

information in multimedia form makes it much more attractive to both subscribers and potential information publishers, including advertisers.

5 It is important to note that while a user has access to perhaps a terabyte, or even 100 terabytes or more, of data, the total amount of data that systems in accordance with the present invention system can transmit in any one day is much more limited, as will be described below. This is not unlike visiting the main library of a major university, such as Yale or Harvard University, having stacks containing several million volumes of books. Having "access" to all those books every single day does not mean that a user can receive them all in one day, nor does it mean that all the users can receive all the books in a single day. Nevertheless, each particular book (file or program) 10 is available on relatively short notice, and having access to such a large collection of books (data) is still very useful.

15 The present invention also has tremendous cost advantages compared with information distribution using compact disks (CDs), and is believed to have distribution costs on the order of one thousand times less than any competing distribution media. The present invention eliminates both the need to generate "master" disks and the need to manufacture any physical media. Since distribution is accomplished by broadcasting, the actual costs for "publishing" a million copies of an average length novel (i.e., distributing it to a million subscribers) would be on the order of just a few dollars, versus a cost on the order a dollar or more per copy for printing either CDs or traditional books, plus similar costs for the physical distribution, resulting in traditional distribution costs totalling millions of dollars. Furthermore, updates to 20 previously distributed information are also distributed at equally low cost using the present invention, in contrast with CD based publishing which requires mailing or otherwise physically producing and distributing new CDs to all previous purchasers. 25

## SUMMARY OF THE INVENTION

In summary, the present invention is an information broadcasting system which provides a large number of subscribers access to a large amount of information using one or more satellite transmission channels. The  
5 broadcasting system can also use cable television transmission channels or any similarly structured data distribution network. The system has a program supplier station which stores an information database and tags all the information in the database with indices so as to form a single hierarchical structure which encompasses the entire information database. Portions of  
10 the information database are transmitted often, at least once per day, in order to provide the basic subscriber with information needed to access the remainder of the database. The information provided by the basic subscriber service, which will typically include at least 50 gigabytes of data, is available to all subscribers without requiring two way communications between the  
15 subscribers and the program supplier station.

By using a "tiered" system for scheduling transmission of the 50 gigabytes or so of information included in the basic subscriber service, as well as an "intelligent" subscriber request anticipation scheme for retrieving information  
20 before the subscriber asks for it, the present invention provides a huge number of subscribers with reasonably quick access to all the contents of the large database. This is accomplished even though only a modest amount of bandwidth is used. Furthermore, by reserving a portion of the system's bandwidth for satisfying requests for access to information not provided with  
25 the basic subscriber service, timely access to a virtually unlimited amount of information can be provided, using the same modest transmission bandwidth, to thousands of those subscribers willing to pay additional fees for that service.

The utility of the present can be further enhanced by using data compression techniques so as to increase the bandwidth of the information server, and

5 by downloading software, data compression code books and the like along with the transmitted information. The present invention also includes the ability to automatically update the software used by subscribers' computers by transmitting the software updates along with other data transmitted by the information server, with different versions of the updated subscriber software being transmitted for each of the different platforms (i.e., types of CPUs) being used in subscribers' computers.

#### BRIEF DESCRIPTION OF THE DRAWINGS

10 Additional objects and features of the invention will be more readily apparent from the following detailed description and appended claims when taken in conjunction with the drawings, in which:

Figure 1 is a block diagram of a "hyperbroadcast" data transmission system in accordance with the present invention, showing a receiving station suitable for use by a group of users.

15 Figure 2 is a block diagram of a typical receiving station for use by an individual.

Figure 3 depicts some of the data areas and software routines stored in the memory storage area of a subscriber station.

20 Figure 4 is a block diagram of a system for rebroadcasting onto a cable television network the data stream received from a satellite, as well as a local information source which can insert data into the data stream in lieu of data from the satellite.

Figure 5 is a block diagram of a local area network of subscribers.

Figure 6 is a block diagram of a data packet.

- 6 -

Figure 7 is a block diagram of a data filter subsystem used in subscriber stations for receiving broadcast data and video signals.

Figure 8 depicts the data structure of the filter list used to select data packets received by a subscriber station.

5           Figure 9 depicts the hierarchical structure of the broadcast data.

Figure 10 is a block diagram of a "user profile" data structure which specifies data to be stored and updated in a subscriber's computer.

Figure 11 depicts the index and timestamp information embedded in the broadcast data.

## 10                           DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to Figure 1, there is shown an information broadcasting or transmission system 100 having a single program supplier station 102 which transmits a stream of data via a satellite 104 to a large set of receiving stations 110 owned or leased by subscribers 110. Subscribers are persons,  
15           organizations and companies with equipment to receive transmissions on one or more dedicated channels broadcast by the satellite 104.

In one implementation of the invention, it is expected that subscribers will have access to the basic service without charge. This basic service provides unlimited access to a base set of information, which in the preferred  
20           embodiment is about fifty gigabytes of public service information, including information such as newspapers, weather reports and the like that are updated frequently. Subscribers also have free access to all information on the service which advertisers or other suppliers of paid programming, such as governments and schools, have provided for distribution to the general user  
25           community. This access may include the ability to receive the broadcasted

5 advertising information as well as to make special telephone requests for additional information. Multiple channel versions of the system, which will include additional programming on separate transmission channels, will provide unlimited access to an even larger base set of information. In the preferred embodiment, all broadcast channels will include a mix of text, graphics, multimedia, audio, video and software programming, although the mix of programming materials will typically differ from channel to channel.

10 Subscribers who request transmission of data not included in the basic service could be charged for accessing that information. Information of this type is such that the provider of the information (e.g., a person or company owning copyrights on the information) has placed restrictions on how the information may be distributed. For example, the provider may require a transaction fee or royalty be paid before providing the subscriber with access codes to find or decrypt the information. Alternately, access to a set of information may  
15 be limited by a provider's requirement that he know who is receiving it. In either case, the subscriber, or his computer system, requests such information by calling the user request processor at a particular telephone number (toll free or otherwise) and specifying the information that the subscriber wishes to receive. The user is then given access codes and possibly decryption keys  
20 to enable the user to access the requested information.

Alternately, subscribers could be charged a monthly fee for the basic subscriber service. Access by nonsubscribers, in systems which charge for the basic subscriber service, would be blocked either by encrypting the transmitted data, or by giving only subscribers the packet ID required to access  
25 the root information required to access the rest of the database, as will be described in more detail below.

The database 112 of information provided by the program supplier station 102 is indexed in a hierarchical fashion, providing keyed or indexed access to all data within the database 112. The database 112 will typically have



at least a hundred times more data that is available for retrieval than the data which is provided with the basic service. Subscriber requests for additional information are transmitted by conventional modems and telephone lines to the program supplier station 102, after which transmission of the requested information is scheduled for delivery (i.e., transmission) in the portion of the satellite's considerable bandwidth that is not used for transmitting the regularly scheduled basic programming. In the one-channel preferred embodiment, the system can transmit 32.4 gigabytes of specially requested data per day, which is expected to be sufficient to serve a subscriber base of approximately one million home users or several tens of thousands of commercial users. See Table 1 below. Additional transmission channels can be added as the subscriber base increases in size, and/or different satellite transmission channels can be used for different geographical areas.

As will next be described, the present invention uses a "tiered" system for scheduling transmission of the 50 gigabytes or so of information included in the basic subscriber service, as well as an "intelligent" subscriber request anticipation scheme for retrieving information before the subscriber asks for it. Together, these features of the present invention provide the system's subscribers with virtually instantaneous access to an large information database while using only a very modest amount of transmission bandwidth. Furthermore, by reserving a portion of the system's bandwidth for satisfying requests for access to information not provided with the basic subscriber service, timely access to a virtually unlimited amount of information can be provided to those subscribers, or advertisers, willing to pay additional fees for that service.

#### Overview of Basic Operation

Referring to Figures 1 and 2, the data stream is transmitted over a single satellite channel at a rate of 1.5 megabytes per second in fixed size 10KB (10 kilobyte) data packets having a maximum size of 10,000 bytes. The subscriber receiving stations 110 all include a data filter subsystem 120 (see

Figure 2) which receives the incoming data stream and selects those data packets that meet selection criteria defined by the receiving station 110. The information in the selected data packets is then downloaded into the memory 122-124 of the subscriber's workstation or other computer using software provided by the information network supplier for that purpose.

Typically, all subscriber stations are programmed to store a set of "root information", comprising about 250KB of information, which provides an index to the network's information database 112. Data packets containing this root information are marked to indicate the last time the root information was updated, and the subscriber stations are programmed to automatically replace their internal copy of the root information as updated information is received.

In addition, each subscriber can specify additional information from the database 112 that the subscriber wants to receive. All information in the system is hierarchically arranged, with the root information comprising the top several layers of the hierarchy. As will be described below, subscriber "requests" are made by selecting items from the top level menus, or by selecting "markers" in previously retrieved data that reference other portions of the network database. Each such marker includes a packet ID that is used by the receiver to select packets to be downloaded to the subscriber's computer, as well as a timestamp value indicating (A) whether or not the requested information is included in the basic subscriber service, and, if so, (B) the approximate time that the requested data is scheduled for transmission. Timestamps are described in more detail below. If the requested information is already scheduled for transmission sometime in the near future (either because it is part of the basic service, or because another subscriber recently requested the same item), the subscriber is informed of the expected arrival time.

If the requested data is not included in the basic subscriber service, and if the subscriber is authorized to request additional information (i.e., has signed

an agreement to pay for the transmission of addition data), the request for additional information is transmitted by conventional modems and telephone lines to the program supplier station 102, after which transmission of the requested information is scheduled for delivery (i.e., transmission) by the program supplier station. If the packet IDs associated with the requested information are not already included in the root information available to the subscriber, the program supplier station 102 also provides the subscriber with the packet IDs required to access the requested information. For information having an associated delivery charge, the subscriber's account is debited by a charge corresponding to the information requested.

In the preferred embodiment, each request is assigned a priority level (e.g., low priority night-time delivery, regular two-hour delivery, and rush half-hour delivery), and the program supplier station 102 schedules delivery of requested information accordingly. Information access charges are based both on the volume of information requested and on the request's assigned priority level. If the requested priority level is not available due to an unexpected surge in requests, the subscriber station is informed that the request priority has been downgraded and the subscriber is given the opportunity to cancel the request.

#### Program Supplier Station

As shown in Figure 1, the program supplier station 102 includes the aforementioned database 112, which is typically stored on a large number of high density magnetic disk devices. The program editing software 132 on the central program supplier station 102 tags all the information in the database 112 with indices (each of which contains a packet ID plus additional information) so as to form a single hierarchical structure that encompasses the entire information database. More specifically, software 132 (executed by CPU 130) generates a hierarchical set of indices referencing all the data in the information database 112 and embeds those indices in the information database.

In an alternate embodiment, the packet IDs and the associated indices embedded in the database can be generated off line. In particular, each information provider can be assigned, in advance, a block of packet ID values. If the packet ID values are made sufficiently long, such as 64 or 96 bits long, then assigning blocks of packet ID values to information providers will not deplete the supply of such values. Each information provider is required to organize the information it wants to publish into one or more subtrees, embedding the associated indices therein, and then providing the information to be published to the information server. The information server will then "graft" the subtree(s) of information provided by each information provider onto the information hierarchy broadcast by the information server.

The program supplier station 102 includes at least one central processing unit (CPU) 130, software 132 executed by the CPU 130 for editing program materials and for scheduling delivery of both basic service information and subscriber requested data. In some embodiments, the supplier station 102 will be a distributed system utilizing many CPUs interconnected using a local area or even a wide area network. In that case, the station 102 shown in Figure 1 represents the information collection node, which collects all the information from various programming material providers, combines, serializes and transmits the information.

Memory 133 stores, among other things, scheduling data which is used to control the timing at which each packet of information in the information database 112 is to be transmitted. As will be described below, the timing information in the schedule data is incorporated into "timestamp markers" that are transmitted along with the transmitted data, enabling subscriber stations to know in advance when selected information items will be received.

User request processor 134 is interfaced to a large number of conventional telephone lines 136, using individual modular interface cards for each telephone line so that the system is expandable. Each interface card is

- 12 -

programmed to receive and confirm information requests from subscribers, as well as to handle subscriber account transactions such as setting up new subscriber accounts, providing data decoding keys to new subscribers, and accepting credit card and debit card payments of subscriber balances. In response to each information request received, the request processor 134 queries a subscriber database 138 to ensure that the subscriber is authorized to request additional data, sends the request to the scheduling software 132 so that the transmission of the requested information will be scheduled, and enters the corresponding charge into the subscriber's records in the subscriber database 138.

In embodiments of the invention using multiple transmission channels, so as to be able to handle larger numbers of subscriber requests, special scheduling messages are transmitted via the satellite indicating the approximate time that the requested information will be transmitted and the transmission channel that will be used. Subscriber stations waiting for requested information use this information to change the transmission channel being monitored so as to receive the requested information.

The actual data transmission is handled by a data formatting and transmission subsystem 140. This subsystem includes memory for buffering and formatting the data scheduled for transmission, as well as circuitry for encrypting, packetizing, and then serializing or streaming the data to a transmitter 142 which transmits the data stream to the subscriber stations 110 via satellite 104.

In the preferred embodiment, some of the transmitted data is encoded using conventional public key encryption methodology. Generally, decoding keys for decoding the encrypted data are given only to subscribers who pay for access to that data. For instance, whenever a subscriber requests information not included in the basic subscriber service, and for which there is an associated delivery charge, the program supplier station 102 may select a

5 new encryption key for each request in a pseudo-random fashion, passing the corresponding decoding key to the requestor via the same telephone connection on which the request is received. Encryption and decoding keys are used in the preferred embodiment solely in conjunction with the distribution of materials published on a fee-per-purchaser basis. When multiple subscribers request the same set of materials prior to their transmission, the same encryption and decoding key can be used for all of those subscribers, thereby eliminating the need to retransmit the requested materials for each requesting subscriber.

10 Another type of "encryption" used in the preferred embodiment to defeat access by unauthorized subscribers to "protected data", without actually encrypting the protected data is as follows. The packet IDs associated with the protected data need not be sequential, rather they should be assigned on a pseudo-random basis. Furthermore, the packets for the requested data  
15 should be scheduled for transmission in a pseudo-random sequence, interleaved with other broadcast data packets. This method of "mixing up" the requested data, combined with the fact that at least a hundred 10KB packets are transmitted per second, will make unauthorized access to protected data extremely difficult even when the protected data is not  
20 encrypted prior to transmission.

The utility of the present invention can be further enhanced by using data compression techniques so as to increase the perceived bandwidth of the information server, and by downloading software, data compression codebooks and the like along with the transmitted information. Data  
25 compression is discussed more below.

#### Subscriber Receiving Stations

Figure 2 shows an individual subscriber station 110-1 having its own satellite dish 150, low noise block receiver and signal converter (LNB) 152, receiver 153, and data filter subsystem 120. Typically, the satellite dish receives a

- 14 -

signal having a carrier frequency on the order of 12 GHz, the LNB 152 brings this down to about 1 GHz with a signal bandwidth of about 500 MHz, and the receiver 153 then translates the received signal into a digital baseband signal. In this embodiment, the subscriber station 110-1 is a desktop computer (such as a Macintosh computer made by Apple Computer, or an IBM PC compatible computer made by any one of a large number of manufacturers) to which the satellite receiver 150-152-153 and data conversion subsystem 120 have been added. Thus, the subscriber station 110 has a CPU 154, monitor 156, keyboard 158, telephone modem 159 and other conventional computer components.

As noted above, the data filter subsystem 120 receives the incoming data stream and selects those data packets that meet selection criteria defined by the receiving station 110. The information in the selected data packets is downloaded into the memory 122-124 of the subscriber's workstation or other computer using subscriber software 160 provided by the information network supplier for that purpose. The data filter subsystem 120 is discussed in more detail below with reference to Figure 7.

The present invention also includes the ability to automatically update the software used by subscribers' computers by transmitting the software updates along with other data transmitted by the information server, with different versions of the updated subscriber software being transmitted for each of the different platforms (i.e., types of CPUs) being used in subscribers' computers. To implement this, the subscriber stations 110 must be set up to automatically accept and download software updates of the subscriber software 160.

Referring to Figure 3, the subscriber's data storage 124 in the preferred embodiment includes a data area 161 reserved for downloading information from the data filter subsystem 120. Depending on the memory capacity of the subscriber's data storage devices 124, this data area 161 might be as

small as, perhaps, 2MB, or as large as perhaps 100MB, with a reserved data area of 10MB being more typical for an individual subscriber.

5 One feature of the present invention is that the subscriber software 160 has a set of subscriber request handling routines 162 which interact with the data filter subsystem 120 to arrange for downloading of the information requested by a subscriber. The request handling routines include a special "smart caching" routine 163 for anticipating future information requests by the user. Data corresponding to these anticipated information requests is downloaded into a portion 164 of the reserved data area 161 called the smart cache. Since  
10 a virtually unlimited amount of disk space could potentially be occupied by these anticipated requests, only some of which will actually be requested by the subscriber, the smart cache 164 is used as a temporary buffer, the oldest contents of which will be overwritten as information from new anticipated requests is downloaded.

15 The subscriber software 160 also includes a data decompression routine 165 for decoding information transmitted in compressed form, a cache management routine 166 for controlling the downloading of information into the data area 161 and determining which information in the data area 161 to delete when the area overflows, and a user profile setup program 167  
20 which compiles a "user profile" data structure 300 that defines a default set of information to be retrieved from the transmitted data and stored in the data area 161. As noted previously, the data decompression routine used can change depending on the nature or type of data.

25 Figures 4 and 5 show two alternate configurations of subscriber stations, both of which reduce the cost per subscriber for the required receiver hardware. In Figure 4, the received satellite signal is translated by a low noise block converter (LNB) 152 to an intermediate carrier frequency of perhaps 1 GHz, which is then translated down to baseband by a receiver 153. The receiver 153 outputs a logic level bit stream that is received by a cable television



(CATV) distribution plant's head end 170 and then retransmitted via cable 172 to all the subscribers of the cable television system. Subscribers to the information network connect their computers to the cable television cable 172 using a conventional tuner 174 to bring the satellite signal down to baseband. The remaining portion of each subscriber's station is the same as shown in Figure 2. Two advantages of this system configuration are (1) that individual subscribers save the cost of having a satellite receiver 150-152, since one such receiver is sufficient to service a large number of subscribers, and (2) better reception of the satellite signal, with lower error rates, is possible since most CATV head ends have larger receiving dishes than can be afforded by individual subscribers.

An important feature of this configuration is data switch 176, which enables local programming to be added to the data stream received from the satellite. It is anticipated that a certain amount of the satellite's bandwidth will either be left unused, for instance by leaving room for 1000 locally generated data packets each time that a particular special packet is transmitted, or that a portion of the data stream will be designated as suitable for being preempted by local programming. The local program supplier station 178 is essentially a small version of central program supplier station 102 in that it must perform similar tasks - collecting information from various (presumably local) programming material providers, combining, serializing and transmitting the information during the available time slots set aside for local programming. The local programming data will also be hierarchically arranged with embedded timestamped indices, and will generally be set up as a subtree appended to a branch of the data hierarchy transmitted by the central program supplier station.

The ability to have local programming is commercially important, both because it provides the ability for local CATV stations to collect advertising revenues, which enables the data transmission service to be provided free to subscribers, and also to make the content of the transmitted data better tailored to its

audience. Vastly greater information content is another result of having multiple local source transmitters. By way of example, if the local program supplier for each local CATV system provides one percent of the total traffic, and if there are one thousand such local program suppliers, the overall information content of the information distribution system is increased ten fold.

In Figure 5, a single satellite receiver 150-152 is connected to a local area network 180 of subscribers. All requests for transmitted information are handled by a single data filter subsystem 120 and the network server 182. In other words, requests for information are routed through the network server 182 so that one data filter subsystem 120 can be used to select all the information needed by all the subscriber work stations 184 on the local area network 180. Similarly, a single modem 186 or a small number of such modems can be used by a large number of work stations 184 to submit requests to the program supplier station. A significant cost saving associated with this configuration is saved disk space - because all of the users can share access to information stored on the server's hard disk. Speed of access to data from the information service may also be improved, compared to an individual subscriber configuration, because more disk space can be dedicated to automatically caching a larger portion of the transmitted information, since the cost of the dedicated disk space is being shared by a group of users.

This system configuration also spreads the cost of the satellite receiver 150-152 and the cost of the data filter subsystem 120 over the same group of users. Since it is anticipated that the data filter subsystem 120 might sell for at least \$500 (in 1991 U.S. dollars) apiece to manufacture, the local area network configuration of Figure 5 can result in significant savings per user.

The system configuration of Figure 5 is likely to be suitable not only for business subscribers, but also libraries, schools, kiosks at shopping malls, and other types of institutional subscribers.

### Data Filter Subsystem

5 As shown in Figure 6, each received data packet 200 begins with a packet identifier 202, followed by a function code 204 and a set of data 206, followed by error detection codes 208. Furthermore, as will be discussed below, the data 206 includes not only the information associated with the packet identifier 202, but also timestamped indices 210 for the next N (e.g., three) levels of information in the information database hierarchy.

10 Packet identifiers 202 are used to determine which packets require further processing, with all unselected packets simply being discarded by the subsystem 120. The function code 204 is used to identify and categorize special messages transmitted by the program supplier. The packet ID 202 and the function 204 are not encrypted, thereby allowing packets to be selected or discarded prior to processing the data 206. In the preferred embodiment, the data 206 portion of most packets is not encrypted, except  
15 for the use of data compression. A standard, commercially available error correction methodology may be used to detect and correct data errors caused by noise in the transmission process.

20 In some applications, data packets transmitted in response to subscriber requests will be encrypted. However, given the huge amount of data being transmitted it is impractical for a "pirate" to scan all the transmitted data for useful information. Without knowing the packet IDs for a particular set of information, and the proper order of those packet IDs for reconstructing a particular file or program, it is virtually impossible to extract useful information from the data stream, thereby making data encryption unnecessary in most  
25 cases.

Most or all of the data transmitted by the system will be transmitted in compressed form. In order to make the data compression both efficient and flexible, a general set of data decompression software is included in the subscriber software 160 (see Figure 2) given to each subscriber, along with

a default "code book" or coding algorithm to be used for the decompression process. Other code books or coding algorithms, associated with various types of transmitted data will be transmitted along with the compressed data. A typical code book, for example suitable for encoding newspaper articles, will occupy less than 50KB. When used in conjunction with megabytes of data, and taking into consideration that the compression will typically allow two-to-one or better data compression, the transmission of code-books along with the related data involves an inconsequential amount of overhead. The data decompression is performed on the subscriber's own computer, where computation time is presumably virtually free of cost. Also, data decompression is not time consuming computationally using currently available desktop computers, and will not noticeably impact the performance of the system from the viewpoint of subscribers.

Referring to Figure 7 the data filter subsystem 120 used in subscriber stations to receive broadcast data works as follows. The satellite transmitted data stream, which contains a sequence of data packets, is first processed by a conventional satellite signal recovery circuit 220 that stores the received data packets in a ring buffer 222. In the preferred embodiment, the ring buffer 222 has 4 megabytes of storage, enabling about four hundred 10KB data packets or about 2.5 seconds of data at the system transmission rate to be stored. The required size of the ring buffer 222 is governed by the maximum possible delay before a selected packet is downloaded onto the subscriber's host computer.

Each time that a complete data packet is transferred by the data recovery circuit 220 to ring buffer 222, the data recovery circuit 220 sends a "packet received" message to the subsystem's controller 224. The controller 224 responds by comparing the packet's ID 202 with a list of packet ID values and/or ranges of packet ID values stored in filter list 226. Packet's which match any of the packet ID values in the filter list 226 are "selected" and

- 20 -

downloaded to the subscriber's computer via a conventional bus interface circuit 234, such as a SCSI (Standard Computer System Interface) interface.

5 To stretch the time available for downloading, a modified ring buffer technique can be used in which the buffer 222 is divided into a predefined number of slots, each of which can hold one packet. Slots holding packets selected for downloading are "marked" (e.g., using a set of bit flags) and thereby prevented from being overwritten by incoming data until the packet in the slot has been downloaded and the slot unmarked.

10 If a substantially longer period of time is required to ensure successful downloading of the received data to the subscriber's computer, either additional random access memory can be added to the ring buffer 222, or a fast disk storage device can be added to the data filter subsystem 120 to enable larger amounts of data to be buffered by the subsystem 120. The use of disk storage device for buffering may be justified for local area networks  
15 of subscribers, primarily to avoid loss of data when the network server cannot service the subsystem 120 within a few seconds.

In embodiments of the invention which include the transmission of video or audio programming material, the video programming material is transmitted  
20 digitally, in a compressed form. Many video data compression systems are commercially available. The video program material stored in the information database 112 is stored in compressed digital form by the program supplier station 102. As a result, each data conversion subsystem could optionally include a video decompression and modulation circuit 240 for decompressing the compressed digitized video data, and then converting it back into an analog  
25 signal suitable for either playback or recording using a conventional VHS video recorder. In alternate embodiments, the received video data will be downloaded in compressed form, for example for storage on a digital tape recorder. In this alternate embodiment, the subscriber's computer will have to include a video decompression circuit. This embodiment has the advantage

are reducing the storage requirement associated with digital video signal storage.

5 When multiple transmission channels are used by the program supplier station, the controller 224 sends control signals to the satellite receiver as to which channel should be selected. Typically, the satellite receiver will normally be tuned to a "main" or primary channel. Other channels are only selected during the expected transmission times associated with packet identifiers stored in the filter list.

10 Referring to Figure 8, the "requested data" in the filter list 226 is stored as an ordinary B-tree data structure, similar to those found in almost any DBMS (database management system). At the top of the tree is a root node 260. At the bottom of the tree are leaf nodes 266, 268. In between the root 260 and the leaf nodes are intermediate nodes 270, 272, 280. B-trees are well known data structures used to quickly identify whether or not a specified key value is present in a database. In this case, a three level tree, with perhaps 15 up to twenty entries per node, will facilitate high speed determination as to whether or not a particular packet ID is present in the filter list.

20 Each leaf node in the filter list contains five fields: (1) a packet ID, (2) a flag indicating whether the packet is provided as part of the basic subscriber service or whether it is a packet that has been specifically requested to be transmitted, (3) the identify of the channel on which the packet is to be transmitted, (4) a repetition rate value, which is the rate at which transmission of the packet is repeated (e.g., one time per hour, or zero for information specifically requested), and (5) a time skew value indicating the approximate 25 time that the packet is scheduled to be transmitted within the packet's repetition tier.

To determine the approximate next time that a packet is will be transmitted, the repetition rate and time skew fields are used:

- 22 -

$$\text{Next\_Time} = \text{Rep\_Period} * \text{INT}\{\text{Current\_Time}/\text{Rep\_Period}\} + \text{Time\_Skew}$$

where INT is the integer function. For instance, if the Current\_Time is 09:35, the Rep\_Period is one hour, and the Time\_Skew is 5 minutes, then the next time that the packet will be received is about 10:05. When a data packet ID in the filter list specified a channel other than the main data transmission channel, the Next\_Time value is used to determine when the subscriber's system should be tuned to the other specified transmission channel.

Alternately, the packet IDs for the information requested by the subscriber could be stored in a Content Address Memory (CAM), thereby providing a match/no-match determination for each packet ID in a single clock cycle. However, the CAM can store only a limited number of selected packet IDs, while the number of packet IDs that can be stored in the B-tree structure of Figure 8 is limited only by the amount of low-cost random access memory provided to store the filter list.

#### Information Data Hierarchy and Indexing

Referring to Figures 1 and 9, all of the information in the program database 112 is hierarchically organized using a set of assigned indices to reference each distinct portion thereof. For the purposes of transmission, the database is broken into data packets each holding up to about 10,000 bytes of data. In general, each data packet has a unique packet ID, except that a contiguous and associated set of packets might be assigned a single packet ID. Sequence numbers inside the packets sharing a common packet ID can be used to ensure that the data in these packets is properly ordered after downloading into the subscriber's computer. The indices associated with reference data in the database are included in the root information, and also may be embedded in various portions of the transmitted data for the purposes of cross-referencing related information.

- 23 -

5 Referring to the hierarchy or tree data structure 290 in Figure 9, a set of Root Information 292 is considered to be at the "top" of the hierarchy. For the purposes of this discussion, the directions "up" and "down" in the hierarchy are as shown in Figure 9. As shown, local programming provided by a local program supplier station is typically defined as a subtree of the hierarchy. Alternately, the locally provided programming could be appended to several portions of the hierarchy 290.

10 Depending on the amount of disk storage space available on the subscriber's computer (or network server in the case of networks of subscribers), a certain amount of the top portion of the information hierarchy 290 will be automatically stored and updated in the subscriber's computer so that this information is always available. Depending on how the subscriber configures his computer system, this may vary anywhere from 0.25 megabytes of data to perhaps 10 megabytes of data or more.

15 As shown in Figure 9, the information database is structured so that all data down to a certain depth in the hierarchy is provided as part of the basic subscriber service, while items further down the hierarchy are available only by special request. As shown in Figure 9, the depth of information provided by the basic subscriber service may vary in different portions of the hierarchy.

20 The information database is also hierarchically structured in a second manner. In particular, the information included in the basic subscriber service is divided into "root information" plus several tiers of information which are transmitted at decreasing frequency. Table 1 shows an example of how the 1.5 megabyte per second bandwidth associated with a single channel transmission system  
25 may be subdivided into tiers. The information database's root information, comprising about 0.25 megabytes that provides a large part of the indexing and top level menus needed to access the information database, is retransmitted ten times per hour. The first tier of information, transmitted four times per hour, comprises 150 megabytes of information most frequently



needed by subscribers. Each successively lower tier of information contains a larger amount of information than the next higher tier, prioritized in accordance with actual or expected subscriber usage, and is transmitted less often. In this example, twenty-five percent of the available bandwidth is reserved for responding to subscriber requests for additional data. It is noted that despite the high repetition rate of some of the basic subscriber service data, only 35.1% of the available bandwidth is occupied by repeated information.

TABLE 1  
Example of Data Channel Usage - 1.5MB/Sec Channel

<u>Information Type</u>	<u>Repeat Rate</u>	<u>Megabytes Per Transmn</u>	<u>% of Total Bandwidth</u>
Root Information	10 times/hr	0.250	00.0463
1st Priority Info	4 times/hr	150	11.1
2nd Tier Info	1 time/hr	600	11.1
3rd Tier Info	0.25 times/hr	2,400	11.1
4th Tier Info	1 time/12 hrs	7,200	11.1
5th Tier Info	1 time/24 hrs	39,540	30.5537
Subtotals - unique info		49,890.25	
- total bytes Tx		97,200.00	75.00
Data Tx by Request	N/A	32,400	<u>25.00</u>
Total		129,600.00	100.00

It should be emphasized that the repetition rates and other data values provided in Table 1 are only one example of how the bandwidth of a channel could be utilized. The particular repetition rates associated with each tier of data and the amount of data allocated to each tier are selectable parameters that will need to be carefully considered in order to maximize utility of the system for most subscribers.

- 25 -

Each publisher providing materials to be published by the system will structure that information by indicating what portions are to be placed in Tier 1, what portions are to be placed in Tier 2, and so on. Different publication costs will be associated with each tier. Material published on fee-per-copy basis, wherein a royalty must be paid to the copyright owner on a per copy basis, is transmitted only in response to specific subscriber requests.

An alternate tiering scheme to the one shown in Table 1 would be to offer publishers a semi-continuous range of repetition rates in the form of a set of perhaps 100 different repetition rates, each having an associated publication cost, with much smaller increments between tiers than the example given in Table 1. In addition, publishers would be able to specify time frames during which each subset of published information should be broadcast, thereby giving publishers a large degree of control over when their programming materials are broadcast. Of course, regardless of the repetition rate tiering scheme used, the publisher's ability to select any particular repetition rate and distribution time frame would be subject to the availability of the required transmission time. Presumably, supply and demand for time slots in the information server's transmitted data stream will be balanced by appropriate pricing policies, much in the way that advertising time is sold by radio and television broadcasters.

#### User Profiles For Automatic Data Retrieval

Referring to Figure 10, in the preferred embodiment, each subscriber's computer compiles a "user profile" data structure 300 which defines a default filter set defining data to be stored and updated in a subscriber's computer. In particular, the user profile 300 stores a value 302 indicating the amount of disk storage space that has been reserved for storing both root information and the frequently requested information specified elsewhere in the user profile. This value 302 will typically vary from 0.25 to 10 megabytes. A depth value 304 indicates the amount of root information to be downloaded into the subscriber's computer, and items 306-308 specify portions of the

information hierarchy that the subscriber wants to have stored and updated on an ongoing basis. For instance, the subscriber might specify that all information regarding certain sporting events (such as professional football games) be automatically retrieved and downloaded into his computer. Software known as "computer agents" might be employed by subscribers for the purpose of automatically searching for specified data, organizing the results, and so on.

The data in the user profile 300 is translated into specific packet ID information that is then stored in the filter list 226 (see Figure 7) of the subscriber's data filter subsystem 120. As a result, transmitted information corresponding to the topics specified in the subscriber's user profile 300, is automatically downloaded into the subscriber's computer and is also automatically updated as the transmitted information corresponding to those topics is update. By using the "user profile" to pre-load the packet ID filter list, the subscriber's wait time for the information most frequently requested by that subscriber is reduced to zero.

#### Timestamped Indices and "Smart Caching" to Avoid Data Delivery Delays

Referring to Figure 11, timestamped indices 322 are embedded in the information 320 transmitted by the program supplier. These indices are embedded not only in menus, such as the top level menu shown in Table 2, but are also embedded inside the text and/or figures of the received information, thereby referencing and cross-referencing other data that is available in the database. The structure of the indices 322 is the same as shown for indices 266, 268 stored in the filter list. It important to note that each index item is timestamped (unless it references data that is transmitted only upon request) by the values in the Rep\_Rate and Time\_Skew fields of the index. Using the "Next\_Time" computation formula shown above, the timestamp data in each index allows the user to be notified as to the amount of time it will take before a requested item will be received. Items in the

- 27 -

received information which have an associated timestamped index are highlighted or otherwise visually distinguished. When the subscriber selects the item, the associated timestamp information is displayed, thereby informing the subscriber whether or not this is a special request item obtainable only at extra cost, and also the associated delivery wait time if the item is included in the basic subscriber service.

---

**TABLE 2**  
**Example of Main Menu**

10      **PLEASE SELECT TOPIC/CATEGORY:**

**TODAY**  
         **Newspapers & Magazines**  
         **Financial**  
         **Catalogs**  
15      **Government**  
         **Telephone Directories**  
         **Travel**  
         **Reference Books and Information**  
         **Other Books**  
20      **Computer Software & Games**  
         **Audio Programming**  
         **Video Programming**

**Subscriber Menus**

---

25      In accordance with the present invention, there will often be no delivery wait time for items requested by a subscriber. In particular, whenever the subscriber requests an item, the subscriber software 160 in the subscriber's system will also enter into the filter list "look ahead" requests for associated information in the information hierarchy - typically for the items in the next level or two down the hierarchy from the requested item. The extent of the

30      look ahead requests is limited primarily by the amount of disk storage space available in the subscriber's computer. Since it will often take the subscriber a couple of minutes to examine the information obtained from a request, the

data from the look ahead requests will often be received before the subscriber actually requests them.

5 The data corresponding to the "look ahead" requests entered in the subscriber's filter list are stored, when received, on the subscriber's computer's hard disk (or other comparable mass storage device). In the preferred embodiment, a portion of the subscriber's hard disk is set aside as a "smart cache" (see Figure 3) specifically for "caching" of these look ahead requests. When and if the subscriber requests any of the data in the smart cache, it is available for immediate access by the subscriber. If desired, the  
10 selected data in the smart cache can be moved to other areas of the user's hard disk. Unused data in the smart cache is overwritten with new data as required by other received data corresponding to look ahead requests.

#### Video Programming

15 The transmission of video programming is a classic problem in that it tends to occupy large amounts of bandwidth. The typical byte count for an hour of video programming, using available data compression techniques that do not noticeably degrade the quality of the program, is about 0.9 gigabytes, which is equivalent to about 15MB per minute.

20 Due to the large amounts of data associated with video programming, in the preferred embodiment most video programming is transmitted on a separate channel. Using a preferred data transmission rate of 1.5MB per second, which is equivalent to 90MB per minute, it is possible to use time multiplexing so as to transmit six video programs (each having an associated data rate of 15MB per minute) simultaneously. In addition to using time multiplexing, a  
25 data tiering arrangement similar to the one shown in Table 1 can be used, whereby certain types of video programming are repeated more frequently than others.

Alternately, since the data rate of the channel is higher than the data rate required for any one video program, it would be possible to transmit a video program in a fraction of the playback time associated with the program. However, it is anticipated that many subscriber's data receiver systems will not be able to receive and store downloaded data at a sustained rate of 1.5MB per second (i.e., for a sustained period of time in excess of, say, two or three seconds). If the received video programming data is being recorded in a typical subscriber station on a tape recorder, then the multiplexing rate used for transmission would be selected so as to match the data recording rates of such tape recorders.

It is anticipated that at least some subscriber's will be interested solely in receiving video programming from the data transmission system. For such subscribers, the subscriber's "computer" will actually be a data storage box which operates in much the same manner as a conventional video cassette recorder. For these systems, the data filter system would be preprogrammed to store all hierarchy information related to video programs. On screen menus would be displayed to allow the subscriber to select programs. The timestamp information embedded in the hierarchy information would be used to inform the subscriber as to when the selected programs would be received. Packet ID values corresponding to the selected programs would be stored in the filter list and the selected programs would be stored, generally on tape, for later viewing by the user.

#### Potential Publishers

The data transmission system of the present invention is suitable as a publication medium for a wide range of potential publishers, including both publishers who wish to disseminate information for free and for publishers who wish to charge for each copy disseminated. The potential publishers include industrial suppliers (product data, catalogs, product information), software publishers (computer software and games, video and audio programming), catalog sales companies (text and pictures), advertisers, real

estate sellers, travel packages, publishers of reference materials or subscription based materials, and many others whose information changes relatively often or who wish to have low cost distribution to large numbers of customers.

#### Alternate Embodiments

5 Many aspects of the above described preferred embodiment might be modified to accommodate various commercial and technical requirements. For instance, dedicated optical fiber channels might used to transmit data from a national, or one or more regional, program supplier stations, to cable television transmission stations distributed over a wide geographic area. Certainly, the  
10 data transmission rates used by the system are totally dependent on the particular transmission medium used and the maximum acceptable cost for each subscriber's data filter subsystem.

Numerous minor technical choices in the design of the system can be changed without affecting the overall merit of the data transmission system. For  
15 instance, in place of a standard, commercial error correction methodology, a proprietary error correction methodology could be used if there were a reason to do so.

While the present invention has been described with reference to a few specific embodiments, the description is illustrative of the invention and is  
20 not to be construed as limiting the invention. Various modifications may occur to those skilled in the art without departing from the true spirit and scope of the invention as defined by the appended claims.

## WHAT IS CLAIMED IS:

## 1. An information transmission system comprising:

a set of one or more computer memory devices on which is stored an information database;

5 database editing means, coupled to said one or more computer memory devices, for generating a hierarchically arranged set of indices for referencing data in said information database, including distinct indices for referencing distinct portions thereof, and for embedding said indices in said information database;

10 scheduling means for scheduling transmission of selected portions of said information database, including assigning each selected portion of said information database one or more scheduled transmission times;

transmission means, coupled to said scheduling means and said one or more computer memory devices, for transmitting a stream of data packets containing said selected portions of said information database in accordance with said scheduled transmission times;

15 said scheduling means including means for dividing said selected portions of said information database into a prioritized set of tiers, wherein all the selected portions of said information database in each tier are transmitted at a corresponding repetition rate, wherein the repetition rate for higher priority tiers is higher than the repetition rate for lower priority tiers; and

20 subscriber stations which receive said transmitted stream of data packets, each subscriber station including filtering means for specifying a set of requested data packets which comprises a subset of said transmitted data packets and for downloading into a memory storage device those of said received data packets which match said specified set of requested data packets.

25

## 2. The information transmission system of claim 1, wherein



- 32 -

said set of indices include timestamps therein indicating when each said portion of the information database referenced by an index is scheduled to be transmitted; and

5 said subscriber stations including means for decoding said timestamps in said indices;

whereby subscribers can be informed as to the how long it will take to receive a specified portion of the information database.

10 3. The information transmission system of claim 2, wherein each timestamp includes a repetition rate value indicating how often the associated portion of the information database is transmitted, and a time skew value indicating in conjunction with said repetition rate value a scheduled transmission time for the associated portion of the information database.

15 4. The information transmission system of claim 3, wherein the timestamp in indices referencing portions of the information database not scheduled for transmission is null, indicating that said referenced portions of the information database are transmitted only upon request by subscribers.

20 5. The information transmission system of claim 1, wherein said transmission means includes a central program transmission station which transmits said stream of data packets, and one or more cable television systems which receive the transmitted stream of data packets and retransmit said stream of data packets via cables to a set of subscribers.

6. The information transmission system of claim 5, wherein one or more of said cable television systems includes the ability to insert into the stream of retransmitted data packets additional "local programming" data packets.

25 7. The information transmission system of claim 1, wherein a portion of the transmission bandwidth available to said transmission means is reserved

for transmitting portions of said information database requested by subscribers;

5        said information transmission system including subscriber request response means for receiving requests from subscribers, said requests each specifying a portion of said information database; and

      said scheduling means including means for scheduling transmission of requested portions of said information database.

10        8.     The information transmission system of claim 1, wherein one or more subsets of said subscriber stations are interconnected via a local area network, including a network server which receives said transmitted stream of data packets on behalf of an associated set of subscribers, said network server including means for referencing a specified set of data packets requested by said associated set of subscribers, and means for downloading into a memory storage device those of said received data packets which match said specified set of requested data packets;

15                whereby overhead associated with receiving the stream of data packets and downloading for storage a specified subset thereof is shared by a set of subscribers.

20        9.     The information transmission system of claim 1, wherein said transmission means transmits said data packets using multiple transmission channels; and said subscriber stations include means for receiving data packets for each of said multiple transmission channels.

25        10.    The information transmission system of claim 9, wherein  
          said information database includes video program materials as well as non-video information;

          said transmission means transmits data packets containing at least selected portions of said video program materials on at least one of said multiple transmission channels and transmits primarily non-video information on at least one other one of said multiplicity of transmission channels; and

a multiplicity of said subscriber stations include means for receiving and storing video program materials.

5           11. The information transmission system of claim 1, said filtering means comprising a buffer for temporarily storing received data packets, a filter list storage device for storing packet filter data referencing said specified set of requested data packets, and processing means for comparing said data packets temporarily stored in said buffer with said packet filter data and then forwarding those data packets in said buffer which match said packet filter data to a predefined destination;

10                 whereby each subscriber station receives all transmitted data packets but forwards only requested data packets to said predefined destination.

15           12. The information transmission system of claim 1, said filtering means including look ahead means for automatically specifying additional data packets to be downloaded, wherein said requested data packets and said additional data packets each have associated indices at defined positions in said hierarchically arranged set of indices and said additional data packets are selected using predefined criteria with regard to said defined positions of their associated indices relative to the defined positions of said requested data packets;

20                 whereby said subscriber station automatically downloads data packets containing data related to data contained in requested data packets, thereby anticipating potential additional requests that a user may make and speeding access thereto.

13. An information transmission system comprising:

a set of one or more computer memory devices on which is stored an information database;

5 database editing means, coupled to said one or more computer memory devices, for generating a hierarchically arranged set of indices for referencing data in said information database, including distinct indices for referencing distinct portions thereof, and for embedding said indices in said information database;

10 scheduling means for scheduling transmission of selected portions of said information database, including assigning each selected portion of said information database one or more scheduled transmission times;

transmission means, coupled to said scheduling means and said one or more computer memory devices, for transmitting a stream of data packets containing said selected portions of said information database in accordance with said scheduled transmission times; and

15 a multiplicity of subscriber stations for receiving said transmitted stream of data packets, each subscriber station including filtering means for specifying a set of requested data packets which comprises a subset of said transmitted data packets and for downloading into a memory storage device those of said received data packets which match said specified set of requested data packets; said filtering means furthermore including look ahead means for automatically specifying additional data packets to be downloaded, wherein said requested data packets and said additional data packets each have associated indices at defined positions in said hierarchically arranged set of indices and said additional data packets are selected using predefined criteria with regard to said defined positions of their associated indices relative to the defined positions of said requested data packets;

20 25 30 whereby said subscriber station automatically downloads data packets containing data related to data contained in requested data packets, thereby anticipating potential additional requests that a user may make and speeding access thereto.

- 36 -

14. The information transmission system of claim 13, wherein  
said set of indices include timestamps therein indicating when each  
said portion of the information database referenced by an index is schedule  
to be transmitted; and  
5        said subscriber stations including means for decoding said timestamps  
in said indices;  
      whereby subscribers can be informed as to the how long it will take  
to receive a specified portion of the information database.
15. The information transmission system of claim 14, wherein  
10        each timestamp includes a repetition rate value indicating how often  
the associated portion of the information database is transmitted, and a time  
skew value indicating in conjunction with said repetition rate value a scheduled  
transmission time for the associated portion of the information database.
16. The information transmission system of claim 15, wherein the  
15        timestamp in indices referencing portions of the information database not  
scheduled for transmission is null, indicating that said referenced portions  
of the information database are transmitted only upon request by subscribers.
17. The information transmission system of claim 13, wherein  
20        said transmission means includes a central program transmission station  
which transmits said stream of data packets, and one or more cable television  
systems which receive the transmitted stream of data packets and retransmit  
said stream of data packets via cables to a set of subscribers.
18. The information transmission system of claim 17, wherein one or more  
25        of said cable television systems includes the ability to insert into the stream  
of retransmitted data packets additional "local programming" data packets.
19. The information transmission system of claim 13, wherein a portion  
of the transmission bandwidth available to said transmission means is reserved

for transmitting portions of said information database requested by subscribers;

5        said information transmission system including subscriber request response means for receiving requests from subscribers, said requests each specifying a portion of said information database; and

      said scheduling means including means for scheduling transmission of requested portions of said information database.

20.    The information transmission system of claim 13, wherein one or more subsets of said subscriber stations are interconnected via a local area network, including a network server which receives said transmitted stream of data packets on behalf of an associated set of subscribers, said network server including means for referencing a specified set of data packets requested by said associated set of subscribers, and means for downloading into a memory storage device those of said received data packets which match said specified set of requested data packets;

15        whereby overhead associated with receiving the stream of data packets and downloading for storage a specified subset thereof is shared by a set of subscribers.

21.    The information transmission system of claim 13, wherein said transmission means transmits said data packets using multiple transmission channels; and said subscriber stations include means for receiving data packets for each of said multiple transmission channels.

22.    The information transmission system of claim 21, wherein said information database includes video program materials as well as non-video information;

25        said transmission means transmits data packets containing at least selected portions of said video program materials on at least one of said multiple transmission channels and transmits primarily non-video information on at least one other one of said multiplicity of transmission channels; and

a multiplicity of said subscriber stations include means for receiving and storing video program materials.

5           23. The information transmission system of claim 13, said subscriber stations including a data filter subsystem comprising a buffer for temporarily storing received data packets, a filter list storage device for storing packet filter data referencing said specified set of requested data packets, and processing means for comparing said data packets temporarily stored in said buffer with said packet filter data and then forwarding those data packets in said buffer which match said packet filter data to a predefined destination;  
10                 whereby each subscriber station receives all transmitted data packets but forwards only requested data packets to said predefined destination.

          24. An information transmission method comprising the steps of:  
              storing an information database on one or more memory devices;  
              generating and storing on said memory devices a hierarchically arranged  
15           set of indices for referencing data in said information database, including distinct indices for referencing distinct portions thereof, and embedding said indices in said information database;  
              scheduling transmission of selected portions of said information database, including assigning each selected portion of said information  
20           database one or more scheduled transmission times;  
              transmitting a stream of data packets containing said selected portions of said information database in accordance with said scheduled transmission times;  
              said scheduling step including dividing said selected portions of said  
25           information database into a prioritized set of tiers, wherein all the selected portions of said information database in each tier are transmitted at a corresponding repetition rate, wherein the repetition rate for higher priority tiers is higher than the repetition rate for lower priority tiers;  
              receiving said transmitted stream of data packets at subscriber stations;

5           at each subscriber station, storing filter data specifying a set of requested data packets which comprises a subset of said transmitted data packets; and           at each subscriber station, downloading into a memory storage device those of said received data packets which match said specified set of requested data packets.

25.   The information transmission method of claim 24, wherein said generating step generates indices including timestamps therein, said timestamps indicating when each said portion of the information database referenced by an index is schedule to be transmitted;

10           said method including decoding said timestamps in said indices at said subscriber stations;

          whereby subscribers can be informed as to the how long it will take to receive a specified portion of the information database.

15           26.   The information transmission method of claim 25, wherein each timestamp includes a repetition rate value indicating how often the associated portion of the information database is transmitted, and a time skew value indicating in conjunction with said repetition rate value a scheduled transmission time for the associated portion of the information database.

20           27.   The information transmission system of claim 26, wherein the timestamp in indices referencing portions of the information database not scheduled for transmission is null, indicating that said referenced portions of the information database are transmitted only upon request by subscribers.

25           28.   The information transmission method of claim 24, wherein said transmitting step includes transmitting said stream of data packets to one or more cable television systems which receive the transmitted stream of data packets and retransmit said stream of data packets via cables to a set of subscribers.



- 40 -

29. The information transmission method of claim 28, wherein one or more of said cable television systems inserts into the stream of retransmitted data packets additional "local programming" data packets.

30. The information transmission method of claim 24, wherein said scheduling step includes reserving a portion of transmission bandwidth available for said transmitting step for transmitting portions of said information database requested by subscribers;

said method including receiving requests from subscribers, said requests each specifying a portion of said information database; and

said scheduling step including scheduling transmission of requested portions of said information database.

31. The information transmission method of claim 24, wherein one or more subsets of said subscriber stations are interconnected via a local area network including a network server;

said method including receiving at said network server said transmitted stream of data packets on behalf of an associated set of subscribers, storing data in said network server referencing a specified set of data packets requested by said associated set of subscribers, and downloading into a memory storage device associated with said network server those of said received data packets which match said specified set of requested data packets;

whereby overhead associated with receiving the stream of data packets and downloading for storage a specified subset thereof is shared by a set of subscribers.

32. The information transmission method of claim 24, wherein said transmitting step transmits said data packets using multiple transmission channels; and said receiving step includes receiving data packets from selected ones of said multiple transmission channels.

33. The information transmission method of claim 32, wherein said information database includes video program materials as well as non-video information;

5       said transmitting step transmits data packets containing at least selected portions of said video program materials on at least one of said multiple transmission channels and transmits primarily non-video information on at least one other one of said multiplicity of transmission channels; and  
      at a multiplicity of said subscriber stations, receiving and storing video program materials.

10       34. The information transmission method of claim 24, said receiving and downloading steps including:

      at each subscriber stations, temporarily storing received data packets in a buffer, storing a filter list comprising packet filter data referencing said specified set of requested data packets, comparing said data packets  
15       temporarily stored in said buffer with said packet filter data and then forwarding those data packets in said buffer which match said packet filter data to a predefined destination;

      whereby each subscriber station receives all transmitted data packets but forwards only requested data packets to said predefined destination.

20       35. The information transmission method of claim 24, said storing filter data step furthermore including automatically specifying additional data packets to be downloaded, wherein said requested data packets and said additional data packets each have associated indices at defined positions in said hierarchically arranged set of indices and said additional data packets  
25       are selected using predefined criteria with regard to said defined positions of their associated indices relative to the defined positions of said requested data packets;

      whereby said subscriber station automatically downloads data packets containing data related to data contained in requested data packets, thereby

anticipating potential additional requests that a user may make and speeding access thereto.

36. An information transmission method comprising the steps of:  
storing an information database on one or more memory devices;  
5 generating and storing on said memory devices a hierarchically arranged set of indices for referencing data in said information database, including distinct indices for referencing distinct portions thereof, and embedding said indices in said information database;

10 scheduling transmission of selected portions of said information database, including assigning each selected portion of said information database one or more scheduled transmission times;

transmitting a stream of data packets containing said selected portions of said information database in accordance with said scheduled transmission times;

15 receiving said transmitted stream of data packets at subscriber stations;  
at each subscriber station, storing filter data specifying a set of requested data packets which comprises a subset of said transmitted data packets; and at each subscriber station, downloading into a memory storage device those of said received data packets which match said specified  
20 set of requested data packets.

said storing filter data step furthermore including automatically specifying additional data packets to be downloaded, wherein said requested data packets and said additional data packets each have associated indices at defined positions in said hierarchically arranged set of indices and said  
25 additional data packets are selected using predefined criteria with regard to said defined positions of their associated indices relative to the defined positions of said requested data packets;

30 whereby said subscriber station automatically downloads data packets containing data related to data contained in requested data packets, thereby anticipating potential additional requests that a user may make and speeding access thereto.

- 43 -

37. The information transmission method of claim 36, wherein said generating step generates indices including timestamps therein, said timestamps indicating when each said portion of the information database referenced by an index is scheduled to be transmitted;

5           said method including decoding said timestamps in said indices at said subscriber stations;

          whereby subscribers can be informed as to the how long it will take to receive a specified portion of the information database.

38. The information transmission method of claim 37, wherein

10           each timestamp includes a repetition rate value indicating how often the associated portion of the information database is transmitted, and a time skew value indicating in conjunction with said repetition rate value a scheduled transmission time for the associated portion of the information database.

39. The information transmission system of claim 38, wherein the  
15           timestamp in indices referencing portions of the information database not scheduled for transmission is null, indicating that said referenced portions of the information database are transmitted only upon request by subscribers.

40. The information transmission method of claim 36, wherein

20           said transmitting step includes transmitting said stream of data packets to one or more cable television systems which receive the transmitted stream of data packets and retransmit said stream of data packets via cables to a set of subscribers.

41. The information transmission method of claim 40, wherein one or more  
25           of said cable television systems inserts into the stream of retransmitted data packets additional "local programming" data packets.

42. The information transmission method of claim 36, wherein said scheduling step includes reserving a portion of transmission bandwidth

- 44 -

available for said transmitting step for transmitting portions of said information database requested by subscribers;

said method including receiving requests from subscribers, said requests each specifying a portion of said information database; and

5           said scheduling step including scheduling transmission of requested portions of said information database.

43. The information transmission method of claim 36, wherein one or more subsets of said subscriber stations are interconnected via a local area network including a network server;

10           said method including receiving at said network server said transmitted stream of data packets on behalf of an associated set of subscribers, storing data in said network server referencing a specified set of data packets requested by said associated set of subscribers, and downloading into a memory storage device associated with said network server those of said  
15           received data packets which match said specified set of requested data packets;

whereby overhead associated with receiving the stream of data packets and downloading for storage a specified subset thereof is shared by a set of subscribers.

20           44. The information transmission method of claim 36, wherein said transmitting step transmits said data packets using multiple transmission channels; and said receiving step includes receiving data packets from selected ones of said multiple transmission channels.

45. The information transmission method of claim 44, wherein  
25           said information database includes video program materials as well as non-video information;

said transmitting step transmits data packets containing at least selected portions of said video program materials on at least one of said multiple

- 45 -

transmission channels and transmits primarily non-video information on at least one other one of said multiplicity of transmission channels; and

at a multiplicity of said subscriber stations, receiving and sending video program materials.

5 46. The information transmission method of claim 36, said receiving and downloading steps including:

at each subscriber stations, temporarily storing received data packets in a buffer, storing a filter list comprising packet filter data referencing said specified set of requested data packets, comparing said data packets  
10 temporarily stored in said buffer with said packet filter data and then forwarding those data packets in said buffer which match said packet filter data to a predefined destination;

whereby each subscriber station receives all transmitted data packets but forwards only requested data packets to said predefined destination.

1/6

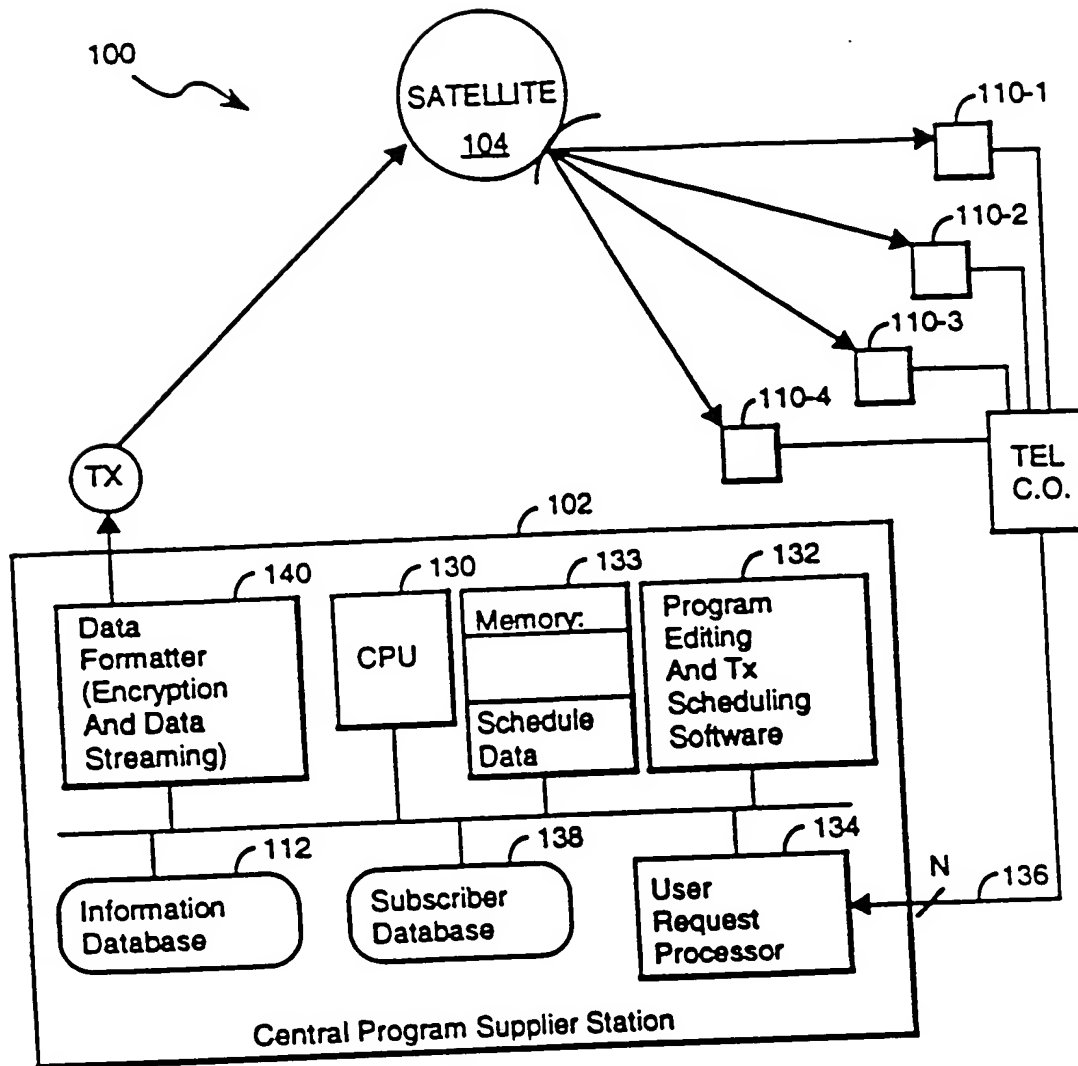


FIGURE 1

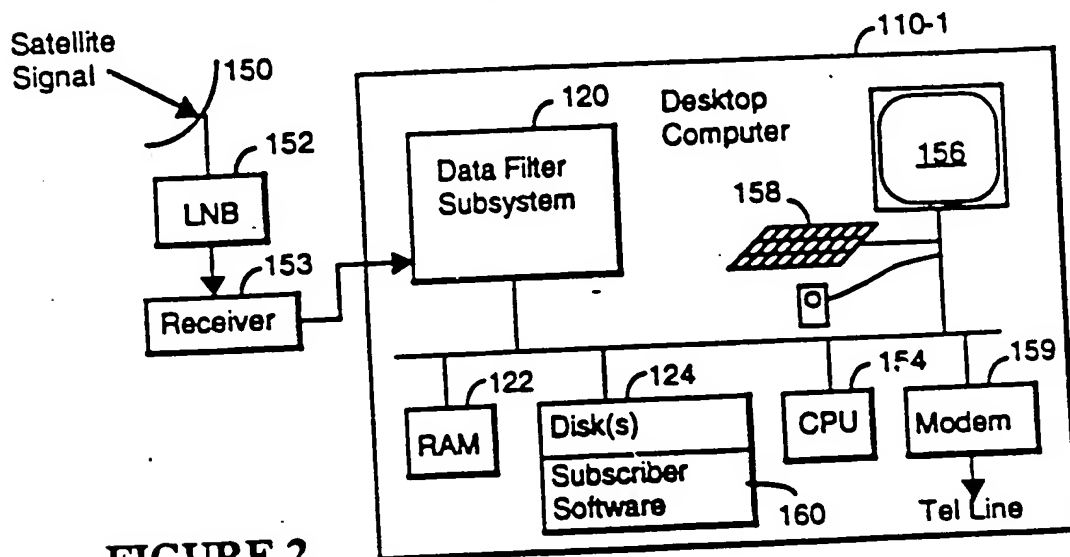
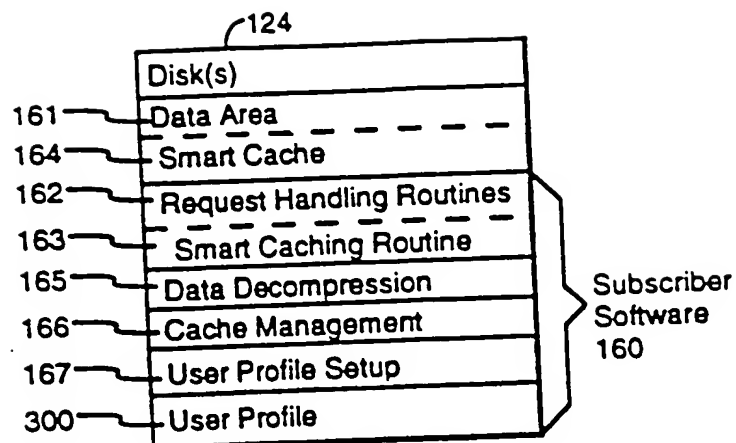


FIGURE 2

2/6



Receiver And

FIGURE 3

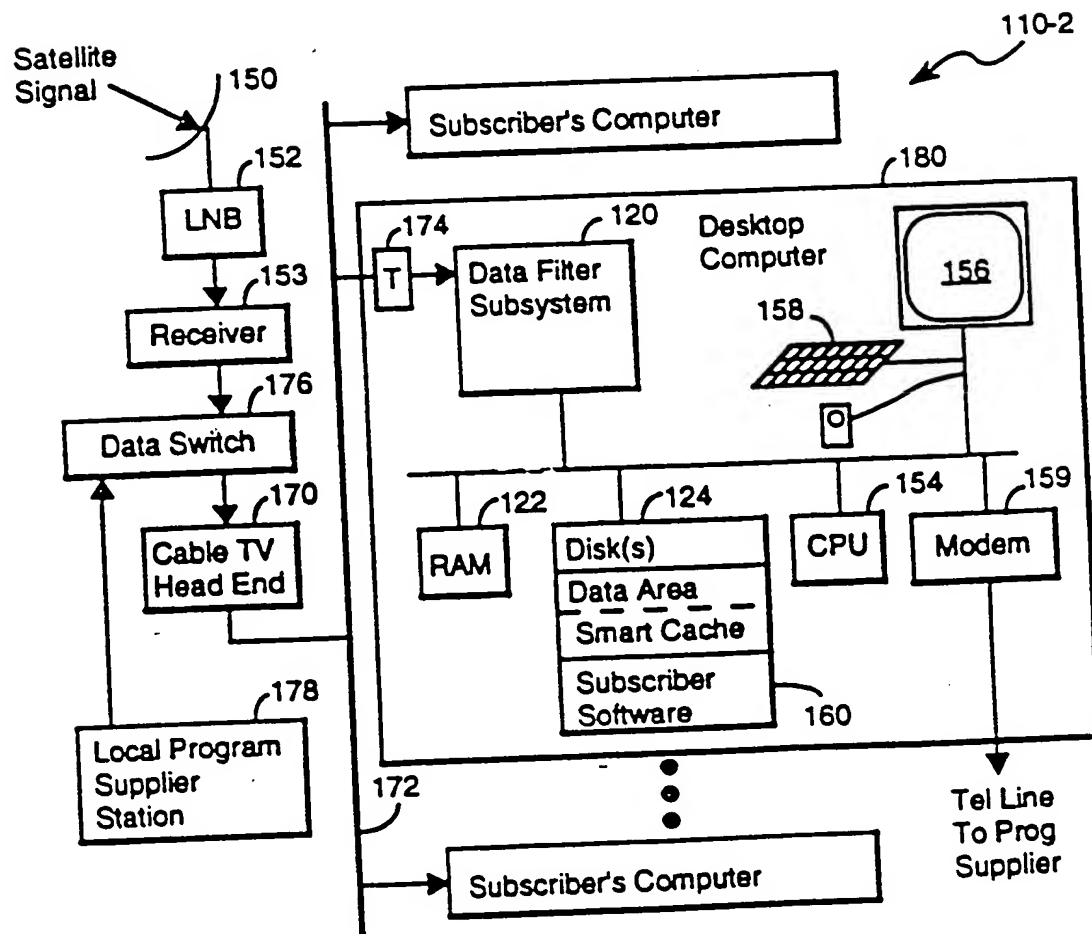


FIGURE 4



3/6

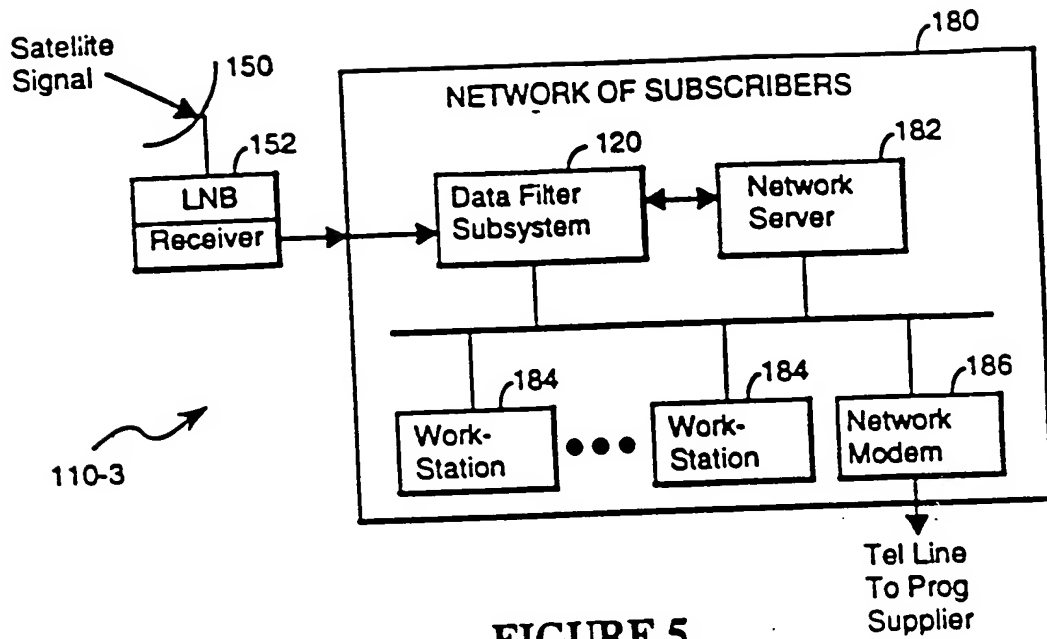


FIGURE 5

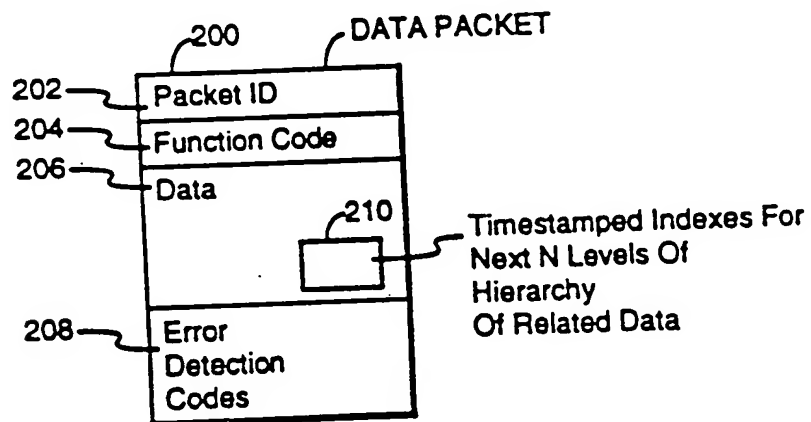


FIGURE 6

4/6

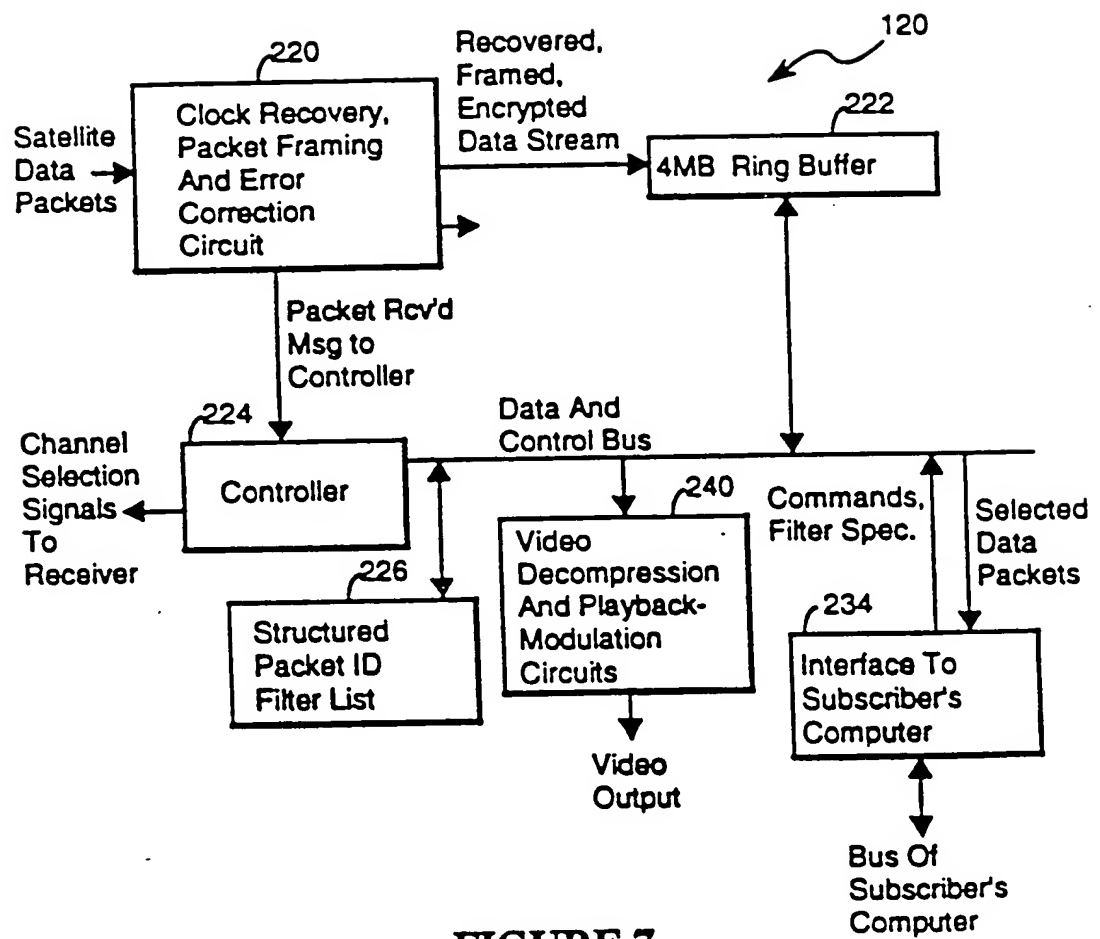


FIGURE 7

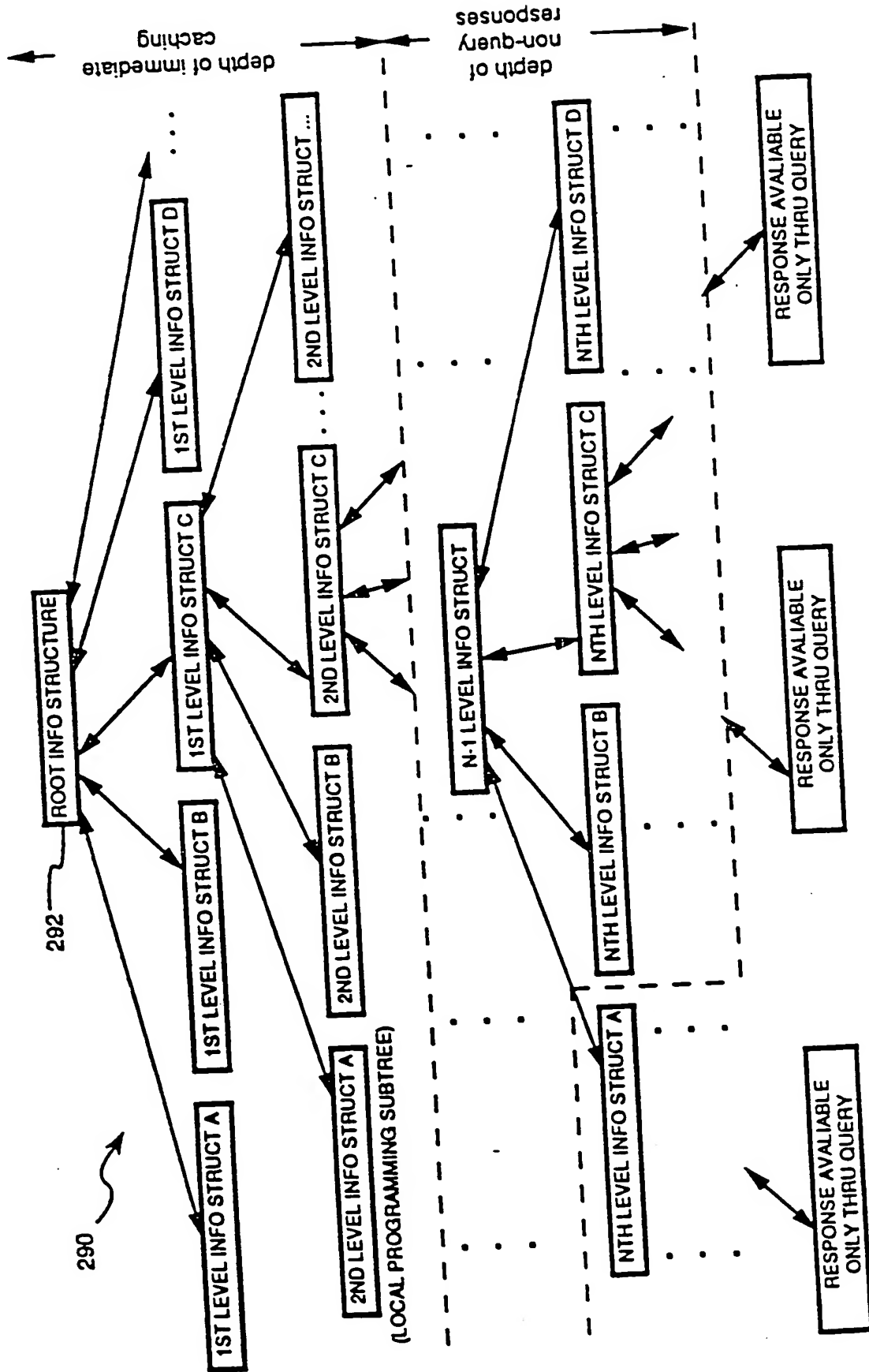


FIGURE 9

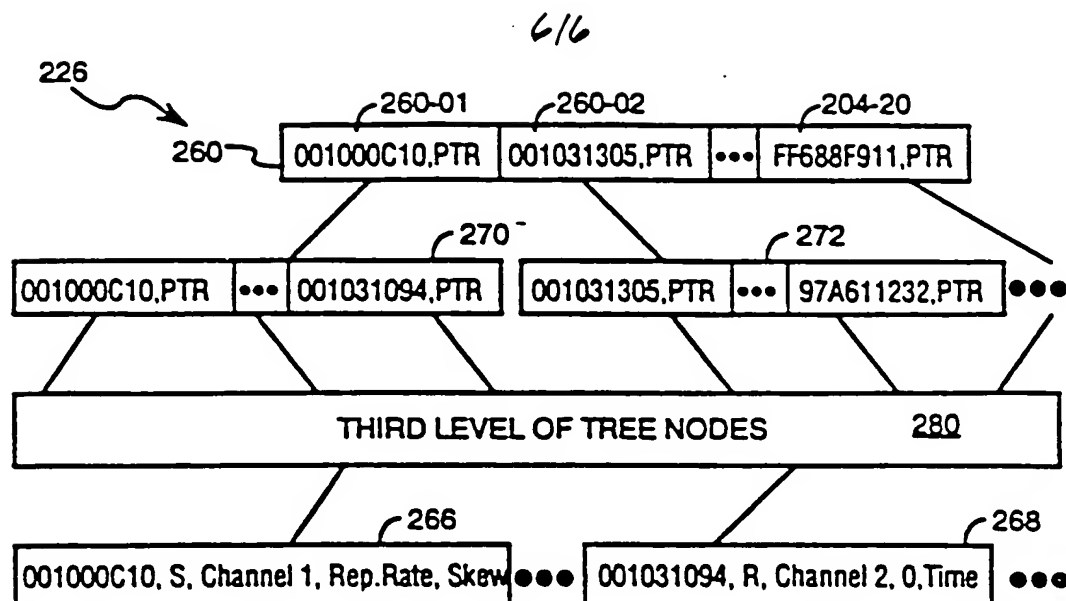


FIGURE 8

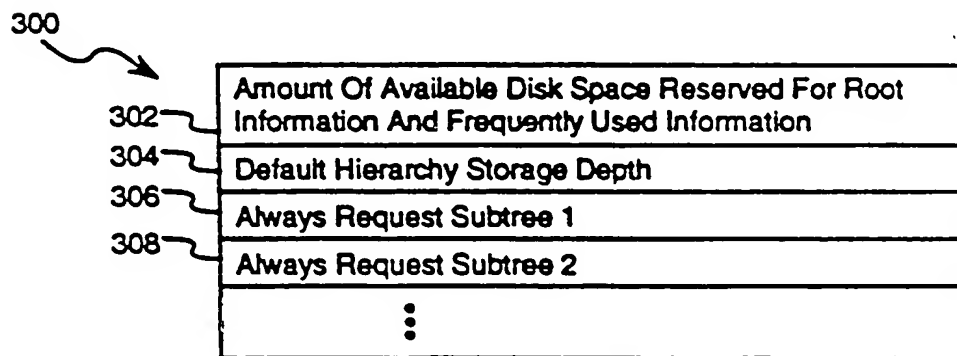


FIGURE 10

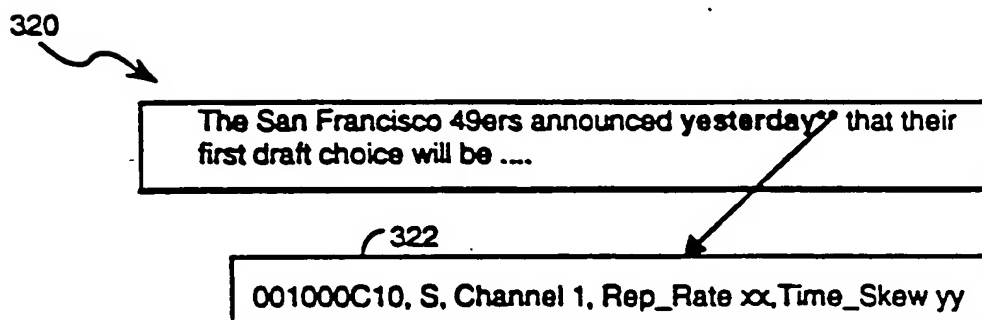


FIGURE 11

## INTERNATIONAL SEARCH REPORT

PCT/US92/09087

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(S) : H04N 1/00, H04H 1/02, H04H 1/00; H04B 7/185; G11C 7/00  
US CL : 358/86; 455/6.1, 455/6.3, 455/3.1, 455/12.1; 395/600

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 358/86; 455/6.1, 455/6.3, 455/3.1, 455/12.1; 395/600

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
APS HIERARCHY, DATABASE, AND PRIORITY

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A,E	US, A, 5,172,413 (Bradley et al) 15 December 1992, See columns 5-6, lines 15-26.	1,13,24 & 36
A	US, A, 4,716,410 (Nozaki) 29 December 1987, See columns 1-2, lines 60-19.	1,13,24 & 36
A	US, A, 4,897,782 (Bennett et al) 30 January 1990.	13 & 36

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be part of particular relevance

"E" earlier document published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T"

prior document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X"

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"A"

document member of the same patent family

Date of the actual completion of the international search

30 DECEMBER 1992

Date of mailing of the international search report

96 FEB 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. NOT APPLICABLE

Authorized officer *my name*

CHRISTINE BELZER

Telephone No. (703) 305-4827

Form PCT/ISA/210 (second sheet)(July 1992)\*

This Page is inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☒ SKEWED/SLANTED IMAGES
- ☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☒ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images  
problems checked, please do not report the  
problems to the IFW Image Problem Mailbox**